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04-02-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TO/OS/1

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0317

First Inventor or Application Identifier Joseph E. Pentheroudakis et al.

Title TOKENIZER FOR A NATURAL LANGUAGE PROCESSING SYSTEM

Express Mail Label No. EL844345660US

JC979 822676 PRO
09/30/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|---|
| 1. <input checked="" type="checkbox"/> | *Fee Transmittal Form e.g., PTO/SB17)
<i>(Submit an original and a duplicate for fee processing)</i> |
| 2. <input checked="" type="checkbox"/> | Specification [Total Sheets 52]
<i>(preferred arrangement set forth below</i>
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings <i>(if filed)</i>
- Detailed Description
- Claim(s)
- Abstract of the Disclosure |
| 3. <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C. § 113) [Total Sheets 6] |
| 4. Oath or Declaration | [Total Sheets 3]
a. <input checked="" type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
<i>(for continuation/divisional with Box 16 completed)</i>
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b). |

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 5. Microfiche Computer Program (Appendix)
- 6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (Identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7. Assignment Papers (cover sheet & document(s))
- 8. 37 C.F.R. § 3.73(b) Statement *(when there is an assignee)* Power of Attorney
- 9. English Translation Document
- 10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
- 11. Preliminary Amendment
- 12. Return Receipt Postcard (MPEP 503)
- 13. *Small Entity Statement filed in prior application.
Statement(s) Status still proper and desired
(PTO/SB/09-12)
- 14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. Request and Cert. Under 35 USC 122 (Non-Pub)
- 16. Other: Checks in the amount of \$764.00 and \$40.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation –in part (CIP)

of prior application No. _____

Prior application information: Examiner _____ Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

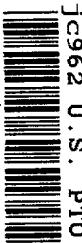
17. CORRESPONDENCE

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

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Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature	<i>Theodore M. Magee</i>	Date	3/30/01

03/30/01

**FEE TRANSMITTAL**

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ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Code</th> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td colspan="2">Surcharge - Late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td colspan="2">Surcharge - Late provisional Filing Fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td colspan="2">Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td colspan="2">For Filing a Request for Reexamination</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td colspan="2">Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td colspan="2">Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td colspan="2">Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td colspan="2">Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td colspan="2">Extension for reply within fifth month</td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td colspan="2">Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td colspan="2">Request for oral hearing</td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td colspan="2">Terminal Disclaimer Fee</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td colspan="2">Petition to Revive - unavoidable</td> </tr> <tr> <td>Total</td> <td>23</td> <td>20</td> <td>3</td> <td>18</td> <td>54</td> </tr> <tr> <td>Indep.</td> <td>3</td> <td>3</td> <td>0</td> <td>80</td> <td>0</td> </tr> <tr> <td colspan="6">Multiple Dependent Claims</td> </tr> <tr> <td colspan="6">** Insert 3 and 20, or number previously paid if greater, Reissue see below</td> </tr> <tr> <td colspan="6"> • Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) </td> </tr> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td colspan="2">Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td colspan="2">Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td colspan="2">Multiple Dependent Claims</td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td colspan="2">Reissue Independent Claims over Original Patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td colspan="2">Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="6">Subtotal (1) \$ 710</td> </tr> <tr> <td colspan="6"> • 2. 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Signature Theodore M. Magee
(Theodore M. Magee)Reg. No. 39,758Date 3-30-01Deposit Account No. 23-1123